## Development of an Ethical Framework for Infodemic Management

International Conference on "Governing Social Listening in the context of Serious Health Threats", 22-24 August 2023, The University of Hong Kong, HK

Giovanni Spitale, postdoc@IBME, UZH, WHO CC giovanni.spitale@ibme.uzh.ch

Federico Germani, postdoc@IBME, UZH, WHO CC federico.germani@ibme.uzh.ch

Nikola Biller-Andorno, director@IBME, UZH , WHO CC biller-andorno@ibme.uzh.ch









Unit for High Impact Events Preparedness

 Department of Epidemic and Pandemic Preparedness and Prevention. Health Ethics and Governance Unit

 Department of Research for Health

This process

WHO Secretariat: Katherine Littler, Co-Unit Head of Health Ethics & Governance in the Department of Research for Health in the Science Division of the World Health Organization, Sylvie Briand, Director of Pandemic and Epidemic Preparedness and Prevention in the Health Emergencies Programme, Tina Purnat, Team Lead for Infodemic Management in the Unit for High Impact Events Preparedness of the Department of Pandemic and Epidemic Preparedness and Prevention, John Reeder, Acting Chief Scientist and Director of Research for Health Department in the Science Division, Andreas Reis, Co-Unit Head of Health Ethics & Governance in the Department of Research for Health in the Science Division, and Sandra Varaidzo Machiri from the African Field Epidemiology Network in Zimbabwe.

**Co-chairs of the group:** Isabella Ballalai from the Brazilian Immunization Society and Calvin Ho from the Centre for Medical Ethics and Law at Hong Kong University.

Experts involved: Najeeb Al-Shorbaji from the Jordan Library and Information Association, Thalia Arawi from the American University of Beirut, Cherstyn Hurley from the Health Security Agency in the UK, Dimitra Lingri from the European Healthcare Fraud & Corruption Network (EHFCN) and the Department of Law at the Faculty of Law at Aristotle University of Thessaloniki in Greece, Stefan Mandic-Rajcevic from the Infodemiology Lab at the School of Medicine at the University of Belgrade in Serbia, Lisa Talia Moretti from AND Digital in the UK, Syed Nakazat from the DataLEADS Foundation in India, Claudia Pagliari from The Usher Institute at the University of Edinburgh in the UK, Alejandro Posada from Internews in Bogotá, Colombia, Ana Lorena Ruano from the Center of Studies for Governance and Equity in Health Systems in Guatemala, David Scales from the Weill Cornell Medical College at Cornell University in the USA, Max Smith from the School of Health Studies at Western University in Canada, Theresa M Senft from Macquarie University in Australia, Harry Sufehmi from MAFINDO in Indonesia, Ross Upshur from the Dalla Lana Faculty of Public Health at the University of Toronto in Canada, and Fatou Wurie from Harvard University in the USA.

**Observers:** Surangani Abeyesekera from UNICEF, Guilherme Canela De Souza Godoi from UNESCO, Timothy K Mackey from the University of California, San Diego, Lee Hibbard from the Council of Europe, and Betsy Mitchell from the US Centers for Disease Control and Prevention.



## 1. Defining the problem



Too much information, including false or misleading information, in digital and physical environments during a disease outbreak.

- causes confusion and risk-taking behaviours that can harm health;
- leads to mistrust in health authorities;
- undermines the public health response;
- can intensify or lengthen outbreaks.

#### Dr Giovanni Spitale

International Conference on "Governing Social Listening in the context of Serious Health Threats", 22-24 August 2023, The University of Hong Kong, HK



### Infodemic management

the <u>systematic</u> use of <u>risk- and evidence-based analysis</u> and approaches to <u>manage</u> the infodemic and reduce its impact on health behaviours during health emergencies.

- Listening to community concerns and questions;
- Promoting understanding of risk and health expert advice;
- Building resilience to misinformation;
- Engaging and empowering communities to take positive action.

#### Dr Giovanni Spitale

### **Defining the problem**



Vulnerability of individuals and communities

Active and passive freedom of information

- Effectiveness/Efficacy/public good/usefulness: ability to produce benefit in real life conditions
- 2. Autonomy (understood differently in different cultures): the combination of intentionality, understanding and non-control
- 3. Fairness/justice: a comprehensive set of basic rights and liberties that can coexist with similar rights for all

Trust, transparency, and trustworthiness

Privacy, confidentiality, and consent

Serious Health Threats", 22-24 August 2023, The University of Hong Kong, HK

- To guide organizations and individuals seeking advice on how to ethically engage in social listening,
- In concordance with the protection and respect for human rights,
- As part of an infodemic management protocol,
- Before and during health emergencies.

= Navigate the tensions detailed before and (try to) strike that balance.



## 2. The process

### Dr Giovanni Spitale International Conference on "Governing Social Listening in the context of

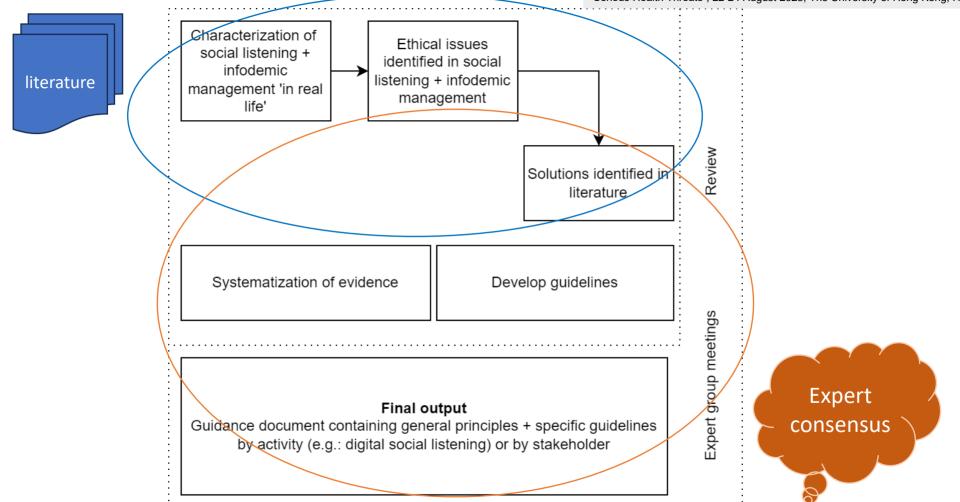
International Conference on "Governing Social Listening in the context of Serious Health Threats", 22-24 August 2023, The University of Hong Kong, HK



Potential harm?

Where do we want to go?

# Dr Giovanni Spitale International Conference on "Governing Social Listening in the context of Serious Health Threats", 22-24 August 2023, The University of Hong Kong, HK



## 3. Architecture

ndations		Process	
Aims and scope	What the document is about and what issues it adresses	Methodology and approach	How the document is being developed (and by whom)
		Alignment	
Target audience	Who this document is for	Findings of review (alignment)	Challenges: managing power imbalance; working with uncertainty; legitimacy of actors;ensuring effectiveness
General introduction	Contextualization: health emergencies and preparedness, prevention and response, impact of infodemics	Ethical significance	What makes it difficult
		Striking a balance	Challenges: managing power imbalance; working with uncertainty; legitimacy of actors;ensuring effectiveness
	Definitions of relevant concepts used in the document		Where we want to go
Definitions	(e.g.: health emergency, public good, public interest, infodemics,)	Process aims	'Where we want to go with SLIM' - protection of and respect for human rights; epistemic justice; trust; fair an inclusive decision making; integrity;
fication and raison d'etre			Our overall plan
Importance of ethical guidance for SLIM	Contexts of use, 'peace time' (monitoring, signal detection) and 'war time' (response to acute events)	Principles	Or: guiding concepts to translate process aims in practice, avoiding or reducing the risks.
	<u></u>	Theory to practice	How we get there
Risks of unethical practices in SLIM	Harm to people (esp. in vulnerable situations); erosion of trust; ineffective response of health systems; data misuse, dual use, or other unintended use	Implementation tools	Practical guidance, specific for stakeholders and contex of application

## 4. Core content

### **Challenges**



Managing power imbalance and guaranteeing good governance



Working in conditions of **uncertainty** (epistemic underdetermination, truth, revisability, reliability, certitude)



Ensuring Infodemic management effectiveness



Identifying legitimate actors

### **Process aims (1)**





Protection of and respect for human rights



Pursue epistemic justice



Preserve and build trust, reciprocal trust, trustworthiness



Apply fair, equitable and inclusive processes of decision making



Guarantee integrity of actors and actions

### Process aims (2)





Value community engagement



Pursue understandability



Driven by beneficence, effectiveness, and community benefit



Balanced by necessity, utility, proportionality, and least intrusive approaches

### **Principles**



**Principles** help translating <u>challenges</u> and <u>aims</u> which exist in abstract (i.e.: what we want to avoid and where we want to go) into <u>codified processes</u> to be incorporated into the guidance.

Accountability
Transparency Openness
Inclusivity Independent oversight
Privacy and data security
Longitudinal re-evaluation and sunset clauses

•••

#### Dr Giovanni Spitale

### **Guidance/recommendations**



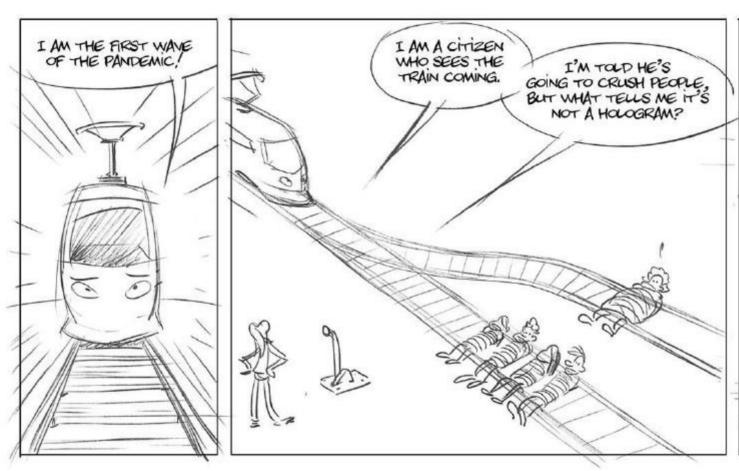
**Recommendations** help shaping <u>concrete actions</u> according to <u>ethical desirability</u>.

Recommendations target specific stakeholders (e.g.: International health organizations; national governments; public health officials, ...) and specific infodemic management activities (e.g.: capacity building; planning infodemic response procedures; collecting infodemic insights; ...) with a pragmatic life cycle approach.

### **SUMMARIZING:**

- Infodemics and infodemic management pose significant challenges.
- An ethical framework is necessary for effective infodemic management.
- The development process is based on both literature and experts' views.
- The architecture includes definitions, ethical challenges, process aims, principles, and stakeholder-specific guidance

#### Dr Giovanni Spitale





IBME/Eric Buche









and History of Medicine

**Collaborating Centre** 

